

MiFID II RTS 28 Report (2017/576/EU supplementing Directive 2014/65/EU)

Equities - Shares & Depository Receipts

According to the Commission Delegated Regulation 2014/65/EU investment firms shall publish the top five execution venues in terms of trading volumes for all executed client orders per class of financial instruments regarding retail and professional clients. The latest report analyses all trades from 01.04.2020 until 31.03.2021.

Report for non-professional clients

Class of financial instruments	Equities - Shares & Depository Receipts
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Notification if < 1 average trade per business day in the previous year	Yes
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Top five execution venues ranked in terms of trading volumes	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Vontobel ZH, 549300L7V4MGECYRM5	4.13	2.60	100.00	0.00	0
Credit Suisse ZH, ANGGYXNX0JLX3X63JN	95.87	97.40	95.36	4.64	0